

# **KELER CCP's Announcement - No. 56/2021**

## **Risk Know Your Customer Questionnaire**

**Effective from: 16 June 2021**

KELER CCP defines the completion of the Risk Know Your Customer Questionnaire in accordance with its General Business Rules as follows.

In order to ensure efficient cooperation, KELER CCP Central Counterparty Ltd. (hereinafter: KELER CCP Ltd.) would like to know and understand existing and future clients better. On that basis, the Risk Know Your Customer Questionnaire (hereinafter: Questionnaire) is introduced. In line with the General Business Rules and applicable Announcements of KELER CCP Ltd., filling out the questionnaire forms part of the mandatory annual reporting obligation, and, in the case of new clients, it is one of the entry requirements.

In case the questionnaire is completed as part of the accession process, the attached financial statement shall be the basis of the analysis of the shareholders equity. For further information regarding the analysis of the shareholders equity please see [KELER CCP's General Business Rules](#) points 3.3.1. and 9.3.1.

## **Completion for energy and gas market (Non-)Clearing Members**

As part of the annual reporting obligation and the accession process the Questionnaire shall be completed electronically. For the completion of the Questionnaire a registration is needed. KELER CCP will send the necessary link to the completing party where the registration can be made.

The KYC Questionnaire is available at: <https://english.kelerkszf.hu/Risk-KYC-Questionnaire-v4>.

Login to complete the KYC Questionnaire:

- User name: the already registered email address, it will not change
- Password: the password generated during registration

To access the KYC Questionnaire:

- Compatible browsers include IE 10+ and up-to-date versions of Google Chrome
- Your browser must support non-persistent cookies and JavaScript, and have Java enabled

In case of some questions Help tooltips will assist you for the better understanding and to ease the answering procedure.

Some of the questions require attachments within the answers. The Clearing Member/Non-Clearing Member is obliged to upload the documents in line with KELER CCP's instructions and the provisions of prevailing regulations in duly signed original copy.

## **Completion for capital market Clearing Members**

As part of the reporting obligation and the accession process the Questionnaire shall be completed in PDF format, and send back via email, as required by KELER CCP.

In case of some questions Help tooltips will assist you for the better understanding and to ease the answering procedure.

Some of the questions require attachments within the answers. The Clearing Member is obliged to send the documents in line with KELER CCP's instructions and in line with the provisions of prevailing regulations in duly signed original copy, in equivalent electronic file format, attached to the email, in which you send back the completed KYC questionnaire to KELER CCP.

Should you have any questions, we are at your disposal via [kszfreporting@kelerkszf.hu](mailto:kszfreporting@kelerkszf.hu) email address.

Budapest, 15 June 2021

KELER CCP Ltd.