

# **KELER CCP's Announcement - No. 21/2021**

## **Risk Know Your Customer Questionnaire**

**Effective from: 1 March 2021**

KELER CCP defines the completion of the Risk Know Your Customer Questionnaire in accordance with its General Business Rules as follows.

In order to ensure efficient cooperation, KELER CCP Central Counterparty Ltd. (hereinafter: KELER CCP Ltd.) would like to know and understand existing and future clients better. On that basis, the Risk Know Your Customer Questionnaire (hereinafter: Questionnaire) is introduced. In line with the General Business Rules and applicable Announcements of KELER CCP Ltd., filling out the questionnaire forms part of the mandatory annual reporting obligation, and, in the case of new clients, it is one of the entry requirements.

In case the questionnaire is completed as part of the accession process, the attached financial statement shall be the basis of the analysis of the shareholders equity. For further information regarding the analysis of the shareholders equity please see [KELER CCP's General Business Rules](#) points 3.3.1. and 9.3.1.

## **Completion for energy and gas market (Non-)Clearing Members**

As part of the reporting obligation and the accession process the Questionnaire shall be completed in PDF format and send back via e-mail, as required by KELER CCP.

In case of some questions Help tooltips will assist you for the better understanding and to ease the answering procedure.

Some of the questions require attachments within the answers. The Clearing Member/Non-Clearing Member is obliged to send the documents in line with KELER CCP's instructions and in line with the provisions of prevailing regulations in duly signed original copy, in equivalent electronic file format, attached to the e-mail, in which you send back the completed KYC questionnaire to KELER CCP.

## **Completion for capital market Clearing Members**

As part of the reporting obligation and the accession process the Questionnaire shall be completed in PDF format, and send back via e-mail, as required by KELER CCP.

In case of some questions Help tooltips will assist you for the better understanding and to ease the answering procedure.

Some of the questions require attachments within the answers. The Clearing Member is obliged to send the documents in line with KELER CCP's instructions and in line with the provisions of prevailing regulations in duly signed original copy, in equivalent electronic file format, attached to the e-mail, in which you send back the completed KYC questionnaire to KELER CCP.

Budapest, 1 March 2021

KELER CCP Ltd.